

Docket No. 272481US0PCT

1-0/537268  
JC17 Rec'd PCT/PTO 01 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Pia BAUM, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/13463

GAU:

FILED: Herewith

EXAMINER:

FOR: USE OF COPOLYMERS AS AUXILIARIES FOR DYEING AND PRINTING TEXTILES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 272481US0PCT		SERIAL NO. <b>10/537268</b>	
LIST OF REFERENCES CITED BY APPLICANT				New U.S. PCT Application Based on PCT/EP03/13463			
				APPLICANT Pia BAUM, et al.			
				FILING DATE Herewith		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	3,097,046	07/09/63	WALLES, Wilhelm E.			
	AB	3,097,048	07/09/63	WALLES, Wilhelm E.			
	AC	4,218,218	08/19/80	DAUBACH, Ewald et al.			
	AD	2004/0266655	12/30/04	BAUM, Pia et al. Serial No.: 10/494,035			
	AE	2005/0004322	01/06/05	BAUM, Pia et al. Serial No.: 10/494,512			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO			
	AO	100 36 713	05/17/01	DE(Equivalent of US 6,447,696)			NO
	AP	0 879 916	11/25/98	EP(Equivalent of US 5,948,125)			NO
	AQ	03/042262	05/22/03	WO(with English abstract)			NO
	AR	61 239090	10/24/86	JP(English abstract only)			NO
	AS	0 698 046	02/28/96	EP			NO
	AT	03/042264	05/22/03	WO(with English abstract)			NO
	AU	03/042263	05/22/03	WO(with English abstract)			NO
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Barbara ELVERS, Stephen HAWKINS, William RUSSEY: Ullmann's Encyclopedia of Industrial Chemistry, Fifth Completely Revised Edition, Vol. A 26, page 291					
	AX	H. FIKENTSCHER: CELLULOSE-CHEMIE, Vol. 13, pages 58-64 and 71-74, 1932.					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 272481US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AA, AB and AO-AR have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AC, AS-AU, AW and AX are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
252351US0PCT	10/494,365	05/12/04		BAUM, et al.
252453US0PCT	10/494,035	05/07/04	US2004/0266655 A1	BAUM, et al.
252149US0PCT	10/494,512	05/13/04	US2005/0004322 A1	BAUM, et al.